Society of Financial Service Professionals Houston Chapter
Houston TSCPA Foundation
American Association of Attorneys/CPAs
Present

FINANCIAL SERVICE PROVIDERS ANNUAL CONFERENCE

FRIDAY, NOVEMBER 19, 2010

Four Oaks Place
1330 Post Oak Blvd.
1st Floor Conference Room

SCHEDULE

8:00 – 8:30 AM	Registration
8:30-9:55 AM	**Innocents Abroad (And Among Us) – A Primer on U.S. Tax Issues Affecting Their Business Activities and Wealth Planning Strategies** *William Leary, CPA, JD* *MFR PC*
9:55-10:10 AM	Break
10:10-11:40 AM	**Investing With Tax Planning In Mind** *Matthew Brady, JD* *Barclays*
11:40 AM-12:40 PM	Lunch
12:40-2:05 PM	**Many Overlooked Benefits of Using Life Insurance in Estate Planning** *Bruce A. Tannahill, JD, CPA/PFS, CLU, ChFC, AEP* *WRL Life Insurance*
2:05-2:20 PM	Break
2:20-3:50 PM	**Investments Strategies That Aren't Too Good To Be True** *Steven R. Goodman, CPA, CFP* *Goodman Financial Corporation*

Course Objective: Provide attendees overviews and updates relevant to financial services provided to their clients.

Level of Instruction: Overview/Update **Prerequisite:** None

8:30-9:55 AM

Innocents Abroad (And Among Us) – A Primer on U.S. Tax Issues Affecting Their Business Activities and Wealth Planning Strategies - Foreign families are moving to the United States, establishing businesses, and making investments often without considering tax and financial planning strategies applicable to their new U.S. status. Many have homes, family members, investments and businesses here and in their country of origin. Learn how to spot some common errors that they make and help them avoid costly U.S. income, gift and estate taxes and penalties.

10:10-11:40 AM

Investing With Tax Planning In Mind – Discussion will include the following:

- Variable life insurance policies and private placement policies
- Warrants on hedge funds
- Netting returns at capital gains rates
- "Collars" and other strategies to protect asset values without a current sale
- Prepaid variable forward contracts' current tax developments
- Joint ventures with exempt entities including Indian tribes
- Charitable remainder trusts
- ESOP Qualified Replacement Securities tax free rollovers

12:40-2:05 PM

Many Overlooked Benefits of Using Life Insurance in Estate Planning – Areas to be discussed include:

- Structuring life insurance to be the least expensive, most tax effective way to fund the payment of estate taxes.
- Some of the many non-tax benefits of using life insurance include providing needed liquidity to help clients provide for blended families, help business owners transition their business, and to equalize the inheritance for descendants not working for the family business.
- Using life insurance to maximize the net after tax benefits received by loved ones of retirement plan assets.
- Client friendly ways to pay premiums and reduce or eliminate estate tax, gift tax and GST.

2:20-3:50 PM.

Investment Strategies That Aren't Too Good To Be True - A discussion of recent investment trends including endowment strategies, ETFs, indexing, and hedge funds, with a focus on asset allocation and diversification to learn how to build a successful investment portfolio.

FACTS YOU SHOULD KNOW

Registration and Fees
Registration fees for this conference are $100 for TSCPA, SFSP and AAACPA members and non-certified individuals, and $200 for TSCPA, SFSP and AAACPA nonmembers. For registrations received after 5:00 PM, November 12, 2010 and walk-in registrations add $50.00 to the above prices! Fee includes admission, beverage breaks, and course materials. Registration will begin at 8:00 a.m.

Cancellation and Substitution Policy
Registrants who cancel prior to November 12, 2010 are subject to a $25 cancellation charge. NO REFUNDS will be issued on cancellations received after 5:00 p.m. November 12 2010. A registration may be transferred to another individual if a registrant is unable to attend. An additional registration fee will be charged to a nonmember transferee. **No shows forfeit the entire registration fee.**

CPE Credit
CPE credit is 7 hours. Program complies with the Continuing Education Standards and Rules adopted by the Texas State Board of Public Accountancy. TSBPA registered sponsor ID #000094. MCLE, CE, CFP credit pending.

Course Information
Course Objective: Provide attendees overviews and updates relevant to financial service providers.
Level of instruction: Overview/Update, unless otherwise noted. Prerequisite: None

Other Information
Satisfactory meeting room temperatures are difficult to maintain. Registrants may wish to bring a sweater or jacket to ensure comfort. Please turn off cellular phones and pagers while in session rooms. Smoking is prohibited in the facility at all times.

Location
Four Oaks Place, 1330 Post Oak Blvd., 1st Floor Conference Room. Parking is complimentary.

REGISTRATION

Course No.: SEM633.X **CPE Credit:** 7 Hours
MCLE, CE, CFP Credit Pending

Fees: ___ $100 – TSCPA, SFSP, AAACPA Member, Non-CPA/Staff; $150 - Walk-in
___ $200 – TSCPA, SFSP, AAACPA Nonmember; $250 – Walk-in
Walk-in Fees apply to registrations received after 5:00 p.m. Friday, November 12, 2010

Are you a member: TSCPA_____ SFSP _____ AAACPA _____ Non-CPA/Staff _____

NAME ________________________ NAME ON BADGE ________________________

FIRM ________________________ ☐ NEW FIRM/COMPANY OR ADDRESS?

STREET ADDRESS ________________________ CITY/STATE/ZIP ________________________

PHONE ______________ FAX ______________ E-MAIL ________________________

☐ ADA Requirements ______________ ☐ Special Dietary Needs ______________

FOR CREDIT CARD PAYMENT: ❍ AMEX ❍ VISA ❍ MasterCard ❍ Discover

Card No. ________________________ Exp Date ________ CVV2 Number ______________
CVV2 is the three digit verification number on the back (or four digit on the front for American Express) of your card.

Cardholder Name (please print) ______________ Signature ________________________

Card Billing Address ________________________ Zip Code __________

Office Use only: Authorization ________________________ Ref. No. ____________

Mail Registration & Payment to: **Houston TSCPA Foundation**, 1700 West Loop South, Suite 750, Houston, TX 77027-3086
PHONE: (713) 622-7733, FAX: (713) 622-3327 (Credit Card registration only)
Register online at http://www.houstoncpa.org
FEE MUST ACCOMPANY REGISTRATION

About Your Sponsors

Society of Financial Service Professionals Houston Chapter(SFSP)

SFSP members are busy professionals who multitask by networking and learning, often during breakfast or lunch. Members include CPAs, attorneys, CLUs, investment advisors, trust officers, private bankers, valuation experts, financial planners, family office managers, charitable planners and other financial service providers. Members have extensive quick computer search and research capabilities, vendor discounts, referral services and archived audio conferences. Go to www.financialpro.org/trial/sec/index.cfm for more information and a free trial membership.

Houston CPA Society

The Houston CPA Society, a Chapter of the Texas Society of CPAs (TSCPA), was organized in 1928 and is today the largest CPA Chapter in Texas and one of the three largest in the country. With over 8,700 members in greater Houston and 13 surrounding counties, it is larger than 42 state societies. The Houston CPA Society has been one of the most active and innovative CPA Chapters in the nation and has been at the forefront of leadership for many years. For more information see www.houstoncpa.org.

American Association of Attorneys/CPAs (AAACPA)

AAACPA is the only national organization which represents the unique needs of professionals who are now or ever were dually licensed as an attorney and a CPA. Members include those who practice only as an attorney, practice only as a CPA, practice as both or practice as neither. AAACPA has helped members all over America for over forty-six years and is a friendly, welcoming group. AAACPA sponsors high value and quality family-friendly meetings at desirable locations. For more information regarding membership email klivingston@attorney-cpa.com.

Seating is limited so register and reserve your space early!